

## Appendix 3

### QUALIFICATION DOCUMENTS

The OC will submit the documents relating to the requirements established in Articles 5 and 6 of the Regulations on Qualification of Oil Companies, approved by Executive Order DS 030-2004-EM, following the order laid down in this Appendix, attaching simple or official translation, as applicable, and grouped in a folder.

#### A. OC WITH EXPERIENCE

##### Legal Capacity:

1. Certified or Simple notary copy of the following documents:
  - Public Deed of Incorporation, Articles of Incorporation or similar document proving the legal existence of the OC. As a minimum, the OC must exist as such at least two (2) years.
  - Amendment of the Public Deed of Incorporation, Articles of Incorporation or similar document of OC, if applicable.
2. Original or certified copy of the Certificate of Good Standing of the OC. If the OC has a Contract or Qualification in force, it will be sufficient to submit an Affidavit issued by a duly authorized official, attaching the document accrediting it.
3. Simple Affidavit, not older than ninety (90) calendar days, issued by a duly authorized official, attaching the document thus accrediting it, which will certify that the OC has no legal impediment to enter into contracts with the Peruvian Government, or impediment of any kind that may affect the performance of its future contractual obligations.

##### Technical Capacity:

1. Information on the activities of exploration and exploitation of Hydrocarbons conducted by the OC in the last three (3) years, with year-to-year details of the exploration work, the number and type of wells drilled, production and proven reserves of crude oil, natural gas and condensates, investments, and any additional information deemed relevant. There will also be a note on the contracts for exploration and exploitation of Hydrocarbons signed in that period, or Evaluation Agreements, noting location, extent of the contracted area, activity performed, investment, results, percentage of participation in contracts and if currently acting as operator or not.

At least two (2) years history will be the minimum acceptable, to proceed with this assessment.

In the case of OCs that have not been active in exploration and exploitation of Hydrocarbons in the past three (3) years, meeting the following requirements will be concurrently accepted:

- i. Having entered into at least one contract with the Peruvian government, under the Hydrocarbons Law, either individually or as part of a consortium; and,
- ii. Conduct any Hydrocarbon activities continuously for the past ten (10) years to the date of filing the relevant application for qualification.

In this case, the information concerning the activities carried out in pursuance of the Contract signed with the Peruvian Government must be submitted, as indicated in

paragraph i) above; and Hydrocarbon activities being carried out in Peruvian territory, in accordance with the provisions in paragraph ii) above.

2. Simple Affidavit, issued by a duly authorized official, attaching the document of accreditation, the same not older than ninety (90) calendar days, stating that the OC has technical staff with managerial and professional levels of expertise to carry out exploration and exploitation of Hydrocarbons.
3. Simple Affidavit, issued by a duly authorized official, attaching the document of accreditation, the same not older than ninety (90) calendar days, stating that the OC is committed to strictly comply with environmental protection provisions applicable to Hydrocarbon activities.

**Financial Economic Capacity:**

1. Financial statements corresponding to the past three (3) years, showing performance results such as: balance sheets, profit and loss statements and statements of changes in stockholders' equity. The information contained in this document will be submitted as an Affidavit.

At least two (2) years history will be the minimum acceptable to proceed with this assessment.

2. Simple Affidavit, issued by a duly authorized official, attaching the document of accreditation, the same not older than ninety (90) calendar days, certifying that the OC is not in a bankruptcy situation, default of payments, subject to any proceedings under the General Law of the Bankruptcy System, Law 27809 or others, in Peru or abroad, that may entail the total or partial loss of the right to manage and dispose of their property.

**B. OC WITHOUT EXPERIENCE**

**Legal Capacity:**

1. Certified or Simple notary copy of the following documents:
  - Public Deed of Incorporation, Articles of Incorporation or similar document proving the legal existence of the OC. As a minimum the OC should have been incorporated for at least two (2) years.
  - Amendment of the Public Deed of Incorporation, Articles of Incorporation or similar document of OC, as applicable.
2. Original or certified copy of the Certificate of Good Standing of the OC. If the OC has a Contract or Qualification in force, it will be sufficient to submit an Affidavit issued by a duly authorized official, attaching the document thus accrediting it.
3. Simple Affidavit, not older than ninety (90) calendar days, issued by a duly authorized official, attaching the document thus accrediting it, which will certify that the OC has no legal impediment to enter into contracts with the Peruvian Government, or impediment of any kind that may affect the performance of its future contractual obligations.

**Technical Capacity:**

1. Association Commitment with a technically trained operator to carry out exploration and exploitation of Hydrocarbons or signed agreement with an OC experienced in performing oil services.

If opting for Association Commitment, the operator must be qualified by PERUPETRO S.A. and shall have a share participation in the relevant License Contract.

2. Affidavit with documentation supporting and clearly demonstrating that they will permanently count with technical staff with managerial and professional levels of expertise to carry out exploration and exploitation of Hydrocarbons.
3. Simple Affidavit, issued by a duly authorized official, attaching the document of accreditation, the same not older than ninety (90) calendar days, stating that the OC is committed to strictly comply with environmental protection provisions applicable to Hydrocarbon activities.

**Financial Economic Capacity:**

1. Financial statements corresponding to the past three (3) years, showing performance results such as: balance sheets, profit and loss statements and statements of changes in stockholders' equity. The information contained in this document shall be submitted as an Affidavit.

At least two (2) years history shall be the minimum acceptable to proceed with this assessment.

2. Documentation duly demonstrating sufficient economic and financial capacity to carry out activities related to the project it will undertake.
3. Simple Affidavit, issued by a duly authorized official, attaching the document of accreditation, the same not older than ninety (90) calendar days, certifying that the OC is not in a bankruptcy situation, in default of payments, subject to any proceedings under the General Law of the Bankruptcy System, Law 27809 or others, in Peru or abroad, that may entail the total or partial loss of the right to manage and dispose of their property.

**C. CONSORTIUM OR OTHER FORMS OF ASSOCIATION**

In the case of Consortiums or other forms of association, they shall indicate the percentage of corresponding participation and each OC must obtain the corresponding qualification from PERUPETRO.