

## APPENDIX N° 4

### DOCUMENTS FOR QUALIFICATION

The OC will submit the documents relating to the requirements laid down in the Articles 5° and 6° of the Regulation for Qualification for Oil Companies, approved by Supreme Decree N° 030-2004-EM, duly ordained in the order established by this Appendix, attaching single or official translation, if applicable, and grouped in a folder.

#### A. EXPERIENCED OC

##### Legal Capacity:

1. Testimony or simple copy notarized, of the following documents:
  - Public Deed of Constitution, Minute of Constitution or other similar document proving the legal existence of the OC. It is accepted at least that the OC is two (2) years of being established.
  - Amendment of the Public Deed, Minute of Constitution or other similar document of the OC, if it has occurred.
2. Original or certified copy of the Certificate of Validity of the OC. If the OC has a Contract or Qualification in force, it will be sufficient to submit an Affidavit issued by a duly authorized to do that, attaching the document stating that.
3. Simple Affidavit no older than ninety (90) calendar days, issued by a duly authorized representative attaching the document so stating, in which will certify that the OC has no legal impediment to hire with the Peruvian State, nor impediment of any kind affecting the compliance of its future contractual obligations.

##### Technical Capacity:

1. Information of the Hydrocarbons exploration and exploitation activities, carried out by the OC in the last three (3) years, detailing annually the exploration activities, the number and type of wells drilled, production and proven reserves of crude oil, natural gas and condensates, investments and any other additional information that deems relevant. Also will indicate the Hydrocarbon exploration and exploitation contracts signed in that period, or Evaluation Agreements, indicating the place, Extension of the contracted area, activity performed, investment, results obtained percentage of participation in contracts and if it acts or not as an operator.

It is accepted at least two (2) years aged to proceed with this evaluation.

For the case of OC that has not carried out any Hydrocarbons exploration and exploitation activities in the last three (3) years, will be accepted the accomplished of the following requirements, concurrently:

- i. Have held with the Peruvian State, pursuant to the Organic Hydrocarbons Law, at least a Contract, individually or as part of a consortium; and,
- ii. Develop any Hydrocarbon Activities, uninterruptedly for the last ten (10) years, to the date of submission of the relevant application for qualification.

In this case, information concerning to the activities conducted pursuant to the Contract entered with the Peruvian State must be submitted, as indicated in paragraph i) above;

and Hydrocarbon Activities that are being performed in the Peruvian territory, according to what is stated in paragraph ii) above.

2. Simple Affidavit, issued by a duly authorized to do that, attaching the document that proves it, which must not be older than ninety (90) calendar days, in which will state that the OC has professional managerial and technical staff, expertise to carry out Hydrocarbon exploration and exploitation operations.
3. Simple Affidavit, issued by a duly authorized to do that, attaching the document that proves it, which must not be older than ninety (90) calendar days, in which will state that the OC undertakes to comply strictly with environmental protection provisions applicable to Hydrocarbon activities.

**Economic Financial Capacity:**

1. Financial Statements for the last three (3) years, which show the results of corporate management such as: balance sheet, profit and loss statement and statement of changes in equity. The information contained in this document will be submitted as an Affidavit.

It is accepted at least two (2) years aged to proceed with this evaluation.

2. Simple Affidavit, issued by a duly authorized to do that, attaching the document that proves it, which must not be older than ninety (90) calendar days, in which will state that the OC is not in bankruptcy, suspension of payments, subject to any procedure under the General Law of the Bankruptcy System, Act N° 27809 or other, in Peru or abroad involving the total or partial loss of the right to manage and dispose of their property.

**B. OC WITH NO EXPERIENCE**

**Legal Capacity:**

1. Testimony or notarized simple copy of the following documents:
  - Public Deed of Constitution, Minute of Constitution or other similar document proving the legal existence of the OC. Is accepted at least that the OC be two (2) years of being established.
  - Amendment of Public Deed, Minute of Constitution or other similar document of the OC, if it has occurred.
2. Original or certified copy of the Certificate of Validity of the OC. If the OC has a Contract or Qualification in force, it will be sufficient to submit and Affidavit issued by a duly authorized for that, attaching the document stating that.
3. Simple Affidavit no older than ninety (90) calendar days, issued by a duly authorized representative attaching the document stating that, in which will certify that the OC has no legal impediment to hire with the Peruvian State, or impediment of any nature that affects the compliance of its future contractual obligations.

**Technical Capacity:**

1. Partnership Commitment with an operator technically trained to carry out Hydrocarbon exploration and exploitation operations or Contract signed with an OC with experience to carry out oil services.

If opted for the Partnership Commitment, the operator must be qualified by PERUPETRO S.A. and should have a share in the respective License Contract.

2. Affidavit with documentation that adequately supports and demonstrates that will, on an ongoing basis, with management and professional expertise staff to carry out Hydrocarbon exploration and exploitation operations.
3. Simple Affidavit, issued by a duly authorized to do that, attaching the document that proves it, which must not be older than ninety (90) calendar days, in which will state that the OC undertakes to comply strictly with environmental protection provisions applicable to Hydrocarbon activities.

**Economic Financial Capacity:**

1. Financial Statements for the last three (3) years, which show the results of corporate management such as: balance sheet, profit and loss statement and statement of changes in equity. The information contained in this document will be submitted as an Affidavit.

It is accepted at least two (2) years aged to proceed with this evaluation.

2. Documentation proving in an irrefutable manner that has sufficient economic and financial capacity to develop activities related to the project that will assume.
3. Simple Affidavit, issued by a duly authorized to do that, attaching the document that proves it, which must not be older than ninety (90) calendar days, in which will state that the OC is not in bankruptcy, suspension of payments, subject to any procedure under the General Law of the Bankruptcy System, Act N° 27809 or other, in Peru or abroad involving the total or partial loss of the right to manage and dispose of their property.

**C. CONSORTIA OR OTHER FORM OF PARTNERSHIP**

In the case of Consortia or other form of partnership, they must indicate the corresponding share percentage and each of the OC must obtain from PERUPETRO S.A. the relevant qualification.